

01-23-02

A



BOYLE  
FREDRICKSON  
NEWHOLM  
STEIN & GRATZ<sup>SC</sup>

SUITE 1030

250 EAST WISCONSIN AVENUE

MILWAUKEE, WI 53202

PHONE: 414.225.9755 FAX: 414.225.9753

E-MAIL: MAIL@BOYLEFRED.COM

INTELLECTUAL PROPERTY LAW

JC962 U.S. PTO  
11/09/01

JAY G. DURST  
DIRECT DIAL. 414-225-1681  
JGD@BOYLEFRED.COM

JC971 U.S. PTO  
10/05/2001  
11/09/01

November 9, 2001

Director of the U.S. of Patent  
and Trademark Office  
Box Patent Application  
Washington, D.C. 20231

Re: Utility Patent Application Transmittal  
Inventor(s): Chunzeng Li and Kevin J. Kjoller  
Title: *Scanning Electrochemical Potential Microscope*  
Assignee: Veeco Instruments Inc.  
**USPTO Customer Account No.: 23598**  
Attorney Docket No.: 528.001

Dear Sir:

Enclosed is our check in the amount of \$1,050.00 in payment of the government filing fee for the enclosed United States patent application, which application includes 28 pages of specification, 35 claims on 5 sheets, 27 Drawings on 19 sheets, an Abstract of the Disclosure, and an executed Declaration. A Fee Transmittal Form PTO/SB/17 (11/00) is also enclosed.

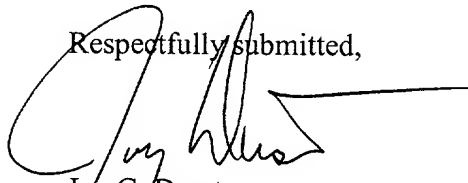
I hereby request that the attached application **NOT** be published under 35 U.S.C. 122(b). An executed Request and Certification under 35 U.S.C. 122(b)(2)(B)(i) is also enclosed.

Also enclosed is an Assignment, which was executed concurrently with the Declaration and which is being filed concurrently with the application, a recordation cover sheet, and our \$40.00 check in payment of the recording fee.

Director of the U.S. Patent  
and Trademark Office  
November 9, 2001  
Page 1

The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 50-1170.

Respectfully submitted,

  
Jay G. Durst  
Registration No. 41,723



Patent Deposit Account  
23898  
Sticker Here

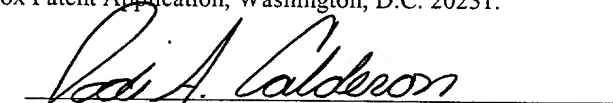
PATENT TRADEMARK OFFICE

Certification Under 37 CFR 1.10

Express Mail Label No: **EL922961574US**

Date of Deposit: **November 9, 2001**

I hereby certify that this paper or fee is being deposited with the United States Postal Service in "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Director of the U.S. Patent and Trademark Office, Box Patent Application, Washington, D.C. 20231.

  
Jodi A. Calderon

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

**FEE TRANSMITTAL  
for FY 2001**

Patent fees are subject to annual revision.

**Complete if Known**

Application Number	
Filing Date	November 9, 2001
First Named Inventor	Li
Examiner Name	
Group Art Unit	
Attorney Docket No.	528.001

TOTAL AMOUNT OF PAYMENT **(\$1050)****METHOD OF PAYMENT**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	50-1170
Deposit Account Name	Boyle, Fredrickson, Newholm, Stein & Gratz S.C.

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:
- 
- ☒
- Check
- ☐
- Credit Card
- ☐
- Money Order
- ☐
- Other

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	740
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) **(\$740)****2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
35	-20**= 15	18	270
3	-3**= 0	84	0
Multiple Dependent		0	0

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) **(\$270)**

\*\* or number previously paid, if greater, For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

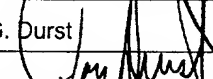
Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

**(\$40)****SUBMITTED BY**

Complete (if applicable)

Name (Print/Type)	Jay G. Durst	Registration No (Attorney/Agent)	41,723	Telephone	(414) 225-9755
Signature		Date	November 9, 2001		

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

Burden Hour Statement This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U S Patent and Trademark Office, Washington, DC 20231

DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO Assistant Commissioner for Patents, Washington, DC 20231

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Chunzeng LI and Kevin J. KJOLLER

For: *Scanning Electrochemical Potential Microscope*

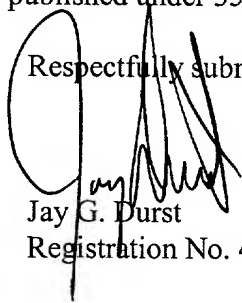
**REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)**

Director of the U.S. Patent  
and Trademark Office  
Washington, DC 20231

Sir:

I hereby certify that the invention disclosed in the attached *application has not and will not be* the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C 122(b).

Respectfully submitted,



Jay G. Durst  
Registration No. 41,723

Dated: November 9, 2001

BOYLE FREDRICKSON NEWHOLM  
STEIN & GRATZ S.C.  
250 Plaza, Suite 1030  
250 East Wisconsin Avenue  
Milwaukee, WI 53202  
Telephone: (414) 225-9755  
Facsimile: (414) 225-9753